

PRIMORDIAL BLACK HOLES

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Content :

- * Creation of BH in the early Universe
- * Detection of BH & constraints
- * Capture of PBH by stars
- * Capture at star birth
- * Perspectives for observations / constraints

Lecture 1.

⊗ Dark Matter (DM) — main motivation for PBH

- DM is needed to explain many observational facts of different nature:
 - rotation curves
 - gas temperature in clusters of galaxies
 - CMB anisotropy data
 - structure formation
 - Bullet cluster

⇒ all this can be explained by DM

BUT: only indirect evidence
 + only of gravitational origin
 [no direct detection so far]

⇒ no clue what is the DM mass.

⊗ PBH are an attractive candidate for DM

- basically invisible
- only interacting gravitationally, including with ordinary matter
- no new stable particle is required if constitute all of the DM

* BH - reminder $[c = \hbar = 1]$

- formed in collapse of massive stars

$$M_{\text{pe}} = 10^{19} \text{ GeV}$$

$$M_{\odot} = 10^{57} \text{ GeV}$$

P1.1.
Estimate J_{max}

- only characterized by mass m and momentum J

- horizon size r_g vs. mass m :

$$r_g = 2Gm = \frac{2m}{M_{\text{pe}}^2}$$

Note: linear scaling with m

$$\text{For } m = M_{\odot} = 10^{57} \text{ GeV} = 10^{33} \text{ g}$$

$$r_g \approx 3 \text{ km}$$

- temperature

$$T = \frac{1}{4\pi} \frac{1}{r_g} \propto \frac{1}{m}$$

- Hawking radiation (black body with temp. T)

$$\frac{dm}{dt} = -4\pi r_g^2 \cdot \sigma_b T^4 \propto T^2 \propto \frac{1}{m^2}$$

P1.2 Evaporation time

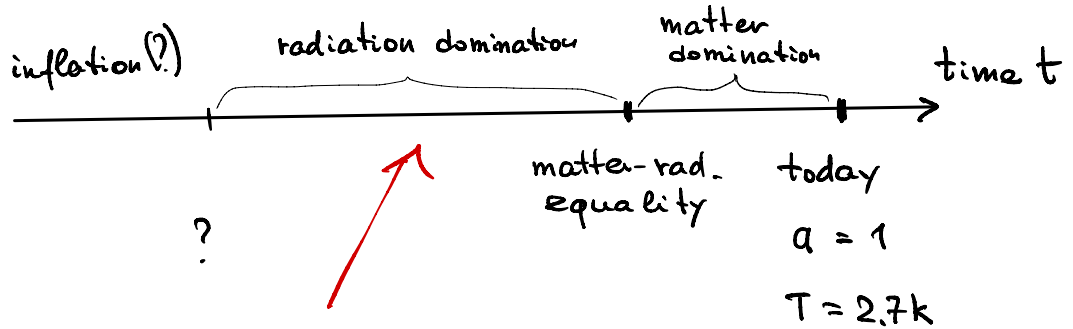
Note: negative heat capacity!

⊛ BH formation in the early Universe

* flat FRWL metric $ds^2 = dt^2 - a^2(t) d\vec{x}^2$

a = scale factor ; $a=1$ today

* Standard cosmology:



This is our range of interest

$a \propto \sqrt{t}$

* Key quantity - expansion rate H

$H \equiv \frac{\dot{a}}{a}$ (Hubble parameter)

From Friedmann equation

$H^2 = \frac{8\pi}{3} G \rho$

↑ energy density
 ↑ Newton's constant
 $G = \frac{1}{M_{pl}^2}$; $M_{pl} = 10^{19} \text{ GeV}$

At radiation stage

$\rho \propto T^4 \Rightarrow H \propto \frac{T^2}{M_{pl}}$

* There is a characteristic length scale - horizon

$$R_H \sim \frac{1}{H} \sim \frac{M_p}{T^2} \quad \left[\begin{array}{l} \text{at the radiation} \\ \text{stage } R_H = \frac{1}{2H} \end{array} \right]$$

$$R_H \propto a^2 \propto t$$

* At the radiation stage the horizon size is the maximum size of a causally-connected region \Rightarrow maximum mass of a BH!

* Total mass inside horizon:

$$M_H \sim \rho R_H^3 \sim T^4 \left(\frac{M_p}{T^2} \right)^3 = \frac{M_p^3}{T^2}$$

\Rightarrow maximum mass of a BH formed at temperature T is

$$m \lesssim M_H = 0.02 \frac{M_{pl}^3}{T^2}$$

| T | $M_H \sim m$ |
|---------|--|
| 100 GeV | $3 \times 10^{-6} M_\odot = 6 \cdot 10^{27} \text{ g}$ |
| 100 MeV | $3 M_\odot = 6 \cdot 10^{33} \text{ g}$ |
| 1 MeV | $3 \cdot 10^4 M_\odot = 6 \cdot 10^{37} \text{ g}$ |

$$M_\odot = 2 \cdot 10^{33} \text{ g}$$

* Is it easy to produce enough PBH to constitute all of the DM? - yes!

Indeed,

$$\rho_{\text{PBH}} \propto \frac{1}{a^3}$$

$$\rho_{\text{rad}} \propto \frac{1}{a^4}$$

\Rightarrow As a increases, the relative abundance of PBH grows

P1.3

$$\frac{\rho_{\text{PBH}}}{\rho_{\text{rad}}} \propto a$$

\Rightarrow ρ_{PBH} must be subdominant at the moment when they are created

⊗ How to make a BH in the early Universe?

|| To form a BH one must compactify a mass into a region smaller than its Schwarzschild radius [Hoop conjecture, Thorne 1972]

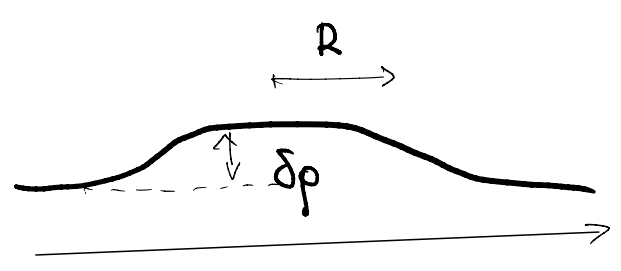
* Many mechanisms at various cosmological epochs have been proposed:

most popular now

- from primordial density perturbations
- bubble collisions at phase transitions
- from collapse of cosmic strings
- at reheating
- at preheating
- ...

* BH from primordial density perturbations

Assume we have $\delta\rho$ at scale R .



⇒ mass of the bump is

$$m \sim \delta\rho \cdot R^3$$

$$r_s = \frac{\delta\rho \cdot R^3}{M_p^2} \quad (\text{its grav. radius})$$

⇒ to form a BH require $r_s \gtrsim R$ (Hoop conjecture)

$$\Rightarrow \frac{\delta\rho \cdot R^2}{M_p^2} \gtrsim 1$$

⇒ smaller $\delta\rho$ correspond to larger R
 But the largest R is $R = R_H$.

Then we have

$$1 \sim \frac{\delta\rho R_H^2}{M_p^2} = \frac{\delta\rho}{M_p^2} \frac{M_p^2}{\rho} = \frac{\delta\rho}{\rho}$$

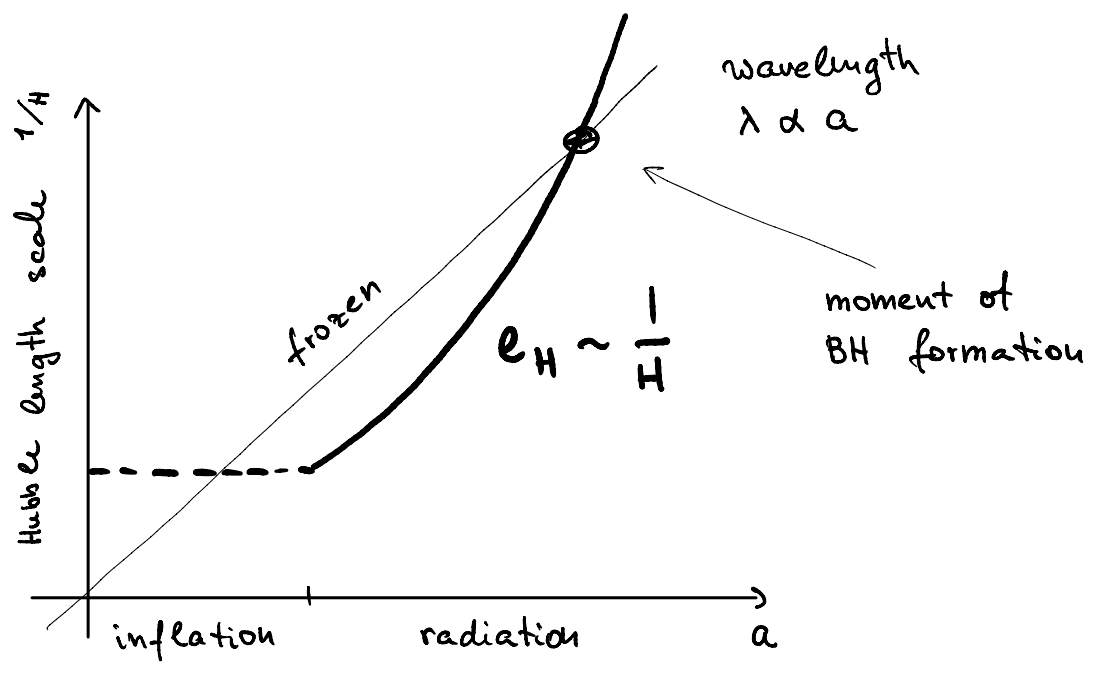
$$\left\{ \begin{aligned} H^2 &= \frac{\rho}{M_p^2} \\ R_H^2 &= \frac{1}{H^2} = \frac{M_p^2}{\rho} \end{aligned} \right.$$

⇒ the smallest $\delta\rho$ still sufficient to form a BH satisfies:

$\frac{\delta\rho}{\rho} \sim 1$

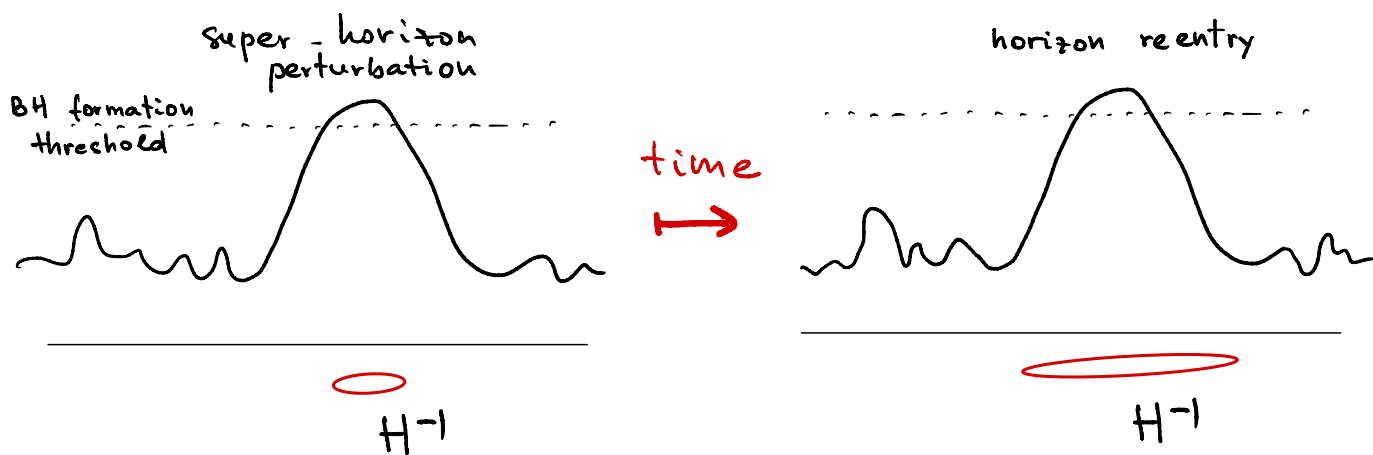
 at horizon scales

⊛ Collapse of primordial perturbations



* inflation $a \propto e^{Ht}$ \rightarrow perturbations exit horizon and after that stay frozen

* RD stage \rightarrow perturbations re-enter the horizon. If they overshoot the threshold $\delta\rho/\rho \sim 1$ they form a BH after re-entry. Otherwise - oscillate.



(*) Because we want to convert into BH only a small fraction of Hubble volumes at the time of production, we do not need $\delta\rho/\rho \sim 1$ on average. Then only rare fluctuations will reach $\delta\rho/\rho \sim 1$ and form BH. In fact, one should have

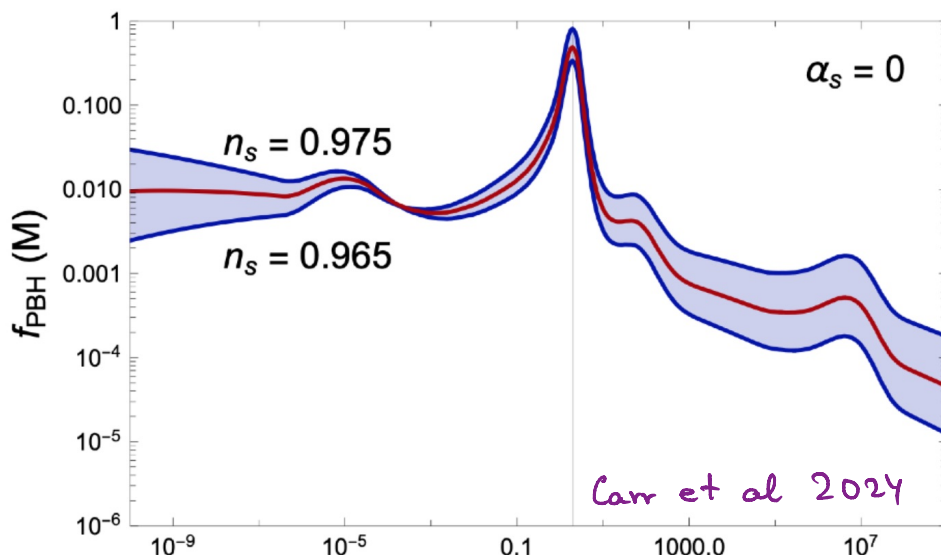
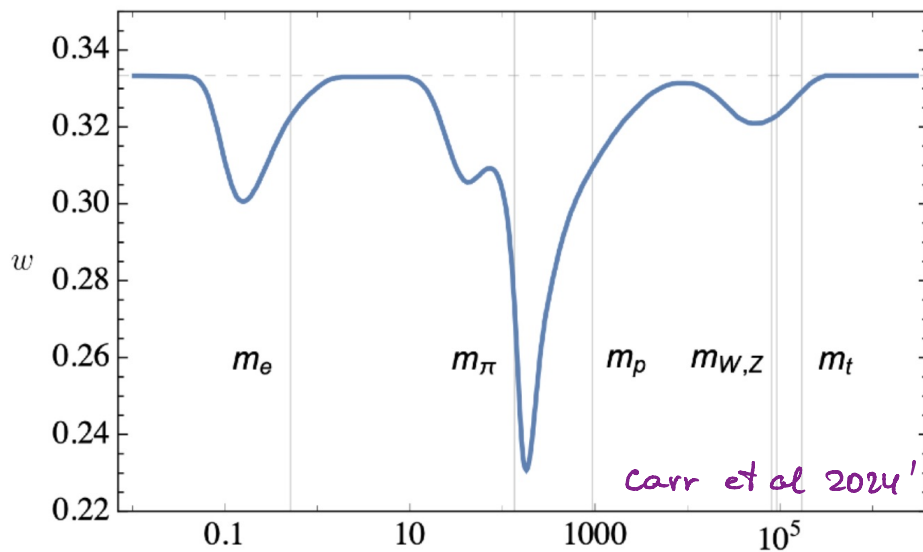
$$\delta\rho/\rho \sim 10^{-2}$$

⊛ The exact threshold of BH formation depends on the equation of state,

$$p = w\rho$$

Pressure opposes collapse \Rightarrow the smaller w , the lower the threshold.

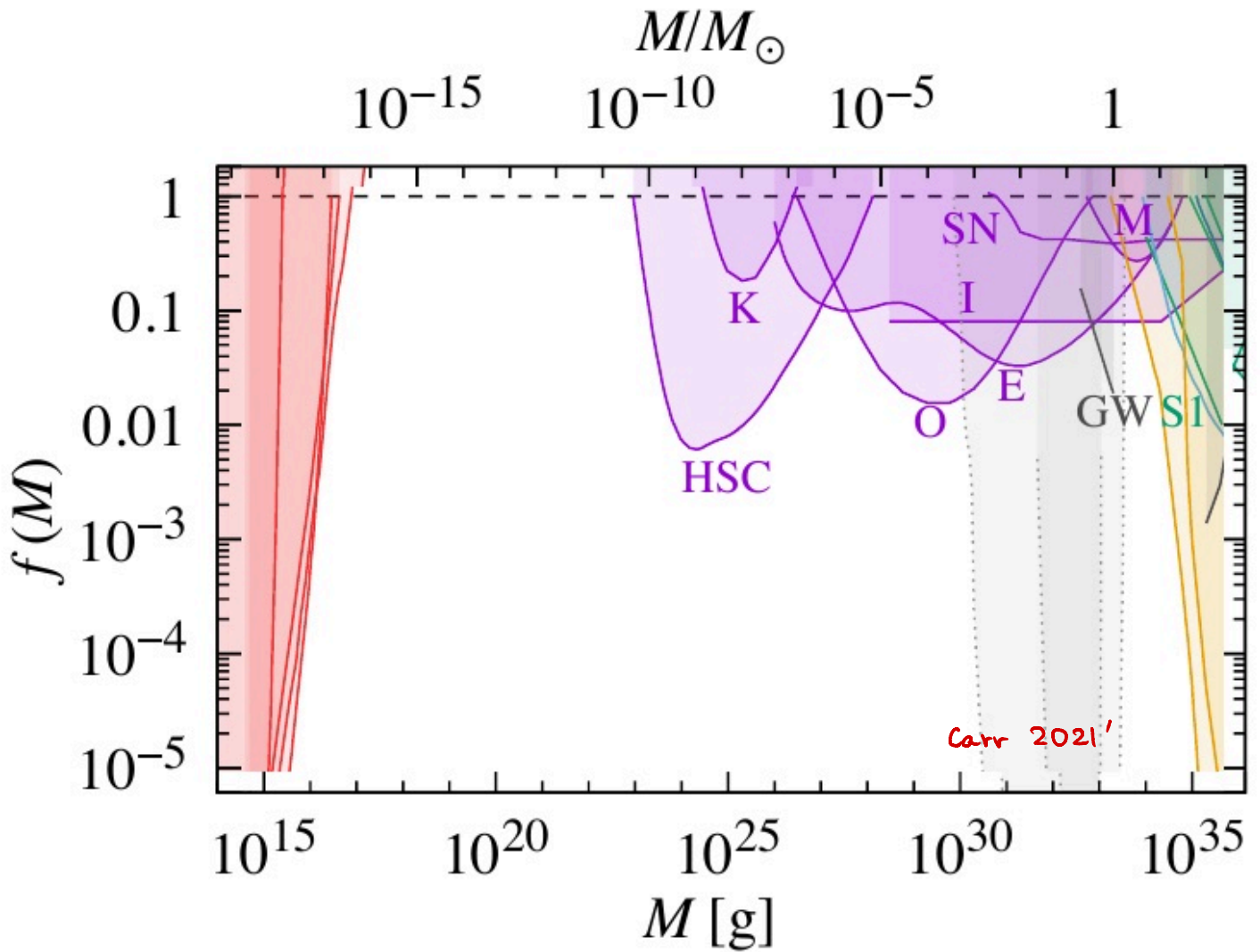
Softening at the QCD phase transition at $T \sim 100 \text{ MeV} \Rightarrow$ enhanced production of $\sim M_{\odot}$ PBHs.



CONCLUSION:

|| No real constraints from theory on
the mass spectrum of PBH and their
abundance

- Hawking radiation
- lensing
- LIGO/VIRGO
- CMB



Hawking evaporation

$$\frac{dm}{dt} = - \frac{1}{15360 \pi G^2 m^2}$$

Evaporation time scale:

$$\tau \sim 3 \cdot 10^9 \text{ yr} \left(\frac{m}{10^{14} \text{ g}} \right)^3$$

$$- 15360 \pi \frac{1}{M_p^4} m^2 dm = dt$$

$$5120 \pi \frac{m^3}{M_p^4} = \tau \leftarrow \text{lifetime}$$

$$\text{take } m = 10^{20} \text{ g} = 5.3 \times 10^{43} \text{ GeV}$$

$$\tau = 5120 \pi \left(\frac{m}{M_p} \right)^3 \frac{1}{M_p} =$$

$$= 1.3 \cdot 10^{59} \frac{1}{\text{GeV}} =$$

$$= 3 \times 10^{27} \text{ yr}$$

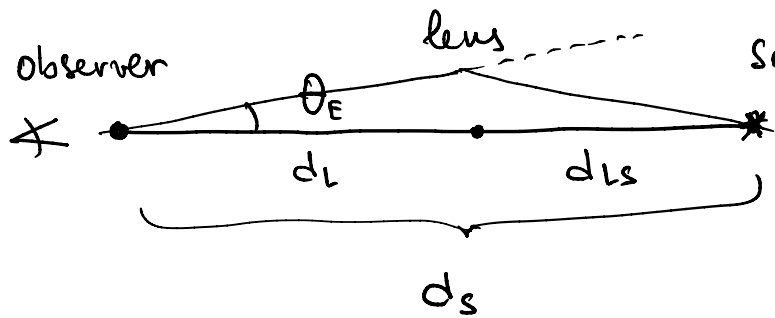
⇒ * PBH lighter than $\sim 10^{15} \text{ g}$ completely evaporate by now and cannot be a DM

* Moreover, the lifetime must be larger than $\sim 10^{27} \text{ s} \sim 10^{20} \text{ yr}$, otherwise the produced radiation overshoots the experimental limits.

P2.1 DM lifetime

Lensing

(13)



Source: stars in LMC, SMC, galactic bulge (OGLE, MACHO, ERDS)

* Einstein ring angular radius

$$\theta_E = \sqrt{\frac{2R_g \cdot d_{LS}}{d_s d_L}}$$

take $d_s, d_L, d_{LS} \sim \text{kpc}$
 $R_g = 3 \text{ km (sun)}$
 $\theta_E \sim 10^{-8}$
 $\sim 3 \cdot 10^{-3} \text{ arcsec}$

Ideal Earth-based observations - 0.4 arcsec

* Time duration

$$t_E = \frac{R_E}{v} = \frac{1}{v} \sqrt{\frac{2R_g \cdot d_L d_{LS}}{d_s}}$$
$$= 44 \text{ days} \left(\frac{m}{M_\odot}\right)^{1/2} \left(\frac{d_L d_{LS}}{d_s \cdot 4 \text{ kpc}}\right)^{1/2} \left(\frac{220 \text{ km/s}}{v}\right)$$

* Amplification factor A

define $u \equiv \frac{\Delta\theta}{\theta_E}$ ← minimum approach

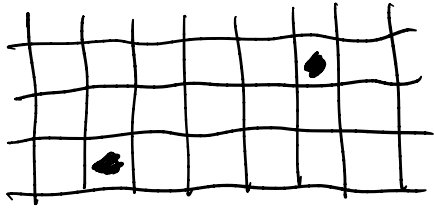
$$A = \frac{u^2 + 2}{u \sqrt{u^2 + 1}}$$

P2.2.
Amplification

LIGO/VIRGO [$m \sim \text{few to } 10\text{'s of } M_{\odot}$]

(14)

- * PBH formation is a random process: at the time of formation collapse happens in only a few Hubble volumes



Important: PBH have zero velocities.

- * As the Universe expands, the Hubble volume grows and some of the PBH find themselves close enough to form a bound pair. They start to orbit each other and finally coalesce.

P2.3 Binary formation

- * Once the formation time (equivalently, the PBH mass) is fixed, the only free parameter in this process is the fraction of PBH in the DM:

$$\left(\begin{array}{l} \text{coalescence rate} \\ \text{today} \end{array} \right) = \text{function of } f = \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}}$$

↑ this is measured
by LIGO/VIRGO



constraint on f

CMB distortions [$\approx 10 M_{\odot}$]

* PBH are formed at in the radiation era at temperatures $\gg eV$

\Rightarrow they must have been present at and after recombination, at the time of CMB formation.

* Accretion of gas on these PBH after recombination produces radiation that leads to ionisation before the star formation, which is excluded / constrained from CMB observations.

Note: accretion is a complicated process \Rightarrow potentially large uncertainties.

P2.4 Collisions
with Earth & Sun

TO SUMMARIZE :

* With larger or smaller confidence in all mass ranges except from $\sim 10^{17}$ g to $\sim 10^{23}$ g PBH are excluded as the only component of DM

This mass range goes under the name of "asteroid-mass window"

HOW CAN PBH OF THESE MASSES BE CONSTRAINED?