Horndeski theory within time-dependent spherically-symmetric background.

based on papers with S. Mironov and V. Volkova 2408.01480, 2408.06329, 2411.05416

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# Modified gravity Motivation to study

- Solutions without singularities.
  - 1. Compact objects
  - 2. Cosmological solutions
- Other modified gravity solutions (e.g. hairy BH, neutron star)
- Null Energy Condition:  $T_{\mu\nu}k^{\mu}k^{\nu} \ge 0$
- ▶ Penrose theorem: no singularity  $\Rightarrow$  NEC-violation
- Null Convergence Condition:  $R_{\mu\nu}k^{\mu}k^{\nu} \ge 0$  (for modified gravity solutions)

### Horndeski theory and its generalization

$$\begin{split} S &= \int \mathrm{d}^4 x \sqrt{-g} \left( \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_{\mathcal{BH}} \right), \\ \mathcal{L}_2 &= F(\pi, X), \\ \mathcal{L}_3 &= -\mathcal{K}(\pi, X) \Box \pi, \\ \mathcal{L}_4 &= G_4(\pi, X) R + G_{4X}(\pi, X) \left[ (\Box \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu} \right], \\ \mathcal{L}_5 &= G_5(\pi, X) G^{\mu\nu} \pi_{;\mu\nu} - \frac{1}{6} G_{5X} \left[ (\Box \pi)^3 - 3\Box \pi \pi_{;\mu\nu} \pi^{;\mu\nu} + 2\pi_{;\mu\nu} \pi^{;\mu\rho} \pi_{;\rho}^{,\nu} \right], \\ \mathcal{L}_{\mathcal{BH}} &= F_4(\pi, X) \epsilon^{\mu\nu\rho} \sigma \epsilon^{\mu'\nu'\rho'\sigma} \pi_{,\mu} \pi_{,\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} + \\ &+ F_5(\pi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \pi_{,\mu} \pi_{,\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} \pi_{;\sigma\sigma'}, \end{split}$$

$$X = -\frac{1}{2}g^{\mu\nu}\pi_{,\mu}\pi_{,\nu}$$

Time-dependent spherically symmetric background.

• Background scalar field: 
$$\pi = \pi(r, t)$$

Background metric

$$ds^{2} = -A(r,t) dt^{2} + \frac{dr^{2}}{B(r,t)} + J^{2}(r,t) \left(d\theta^{2} + \sin^{2}\theta d\varphi^{2}\right)$$

Shift-symmetric scalar field (i.e. π(r, t) = q · t + ψ(r))
 [T. Kobayashi 1510.07400]

### Horndeski theory. G4 subclass.

$$\begin{split} S &= \int \mathrm{d}^4 x \sqrt{-g} \left( \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 \right), \\ \mathcal{L}_2 &= F(\pi, X), \\ \mathcal{L}_3 &= -\mathcal{K}(\pi, X) \Box \pi, \\ \mathcal{L}_4 &= G_4(\pi, X) R + G_{4X}(\pi, X) \left[ (\Box \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu} \right]. \end{split}$$

### Perturbations.

Perturbations

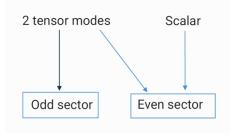
$$\begin{aligned} \pi &= \bar{\pi} + \chi \\ g_{\mu\nu} &= \bar{g}_{\mu\nu} + h_{\mu\nu}, \end{aligned}$$

### Perturbations.

Perturbations

$$\pi = ar{\pi} + \chi$$
 $g_{\mu
u} = ar{g}_{\mu
u} + ar{h}_{\mu
u},$ 

Regge-Wheeler classification of perturbations
 Odd parity (axial) and even parity (polar) modes (Z<sub>2</sub> rotations).



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### Even parity sector. Parametrization.

$$\begin{cases} h_{tt} = A(t,r) \sum_{\ell,m} H_{0,\ell m}(t,r) Y_{\ell m}(\theta,\varphi), \\ h_{tr} = \sum_{\ell,m} H_{1,\ell m}(t,r) Y_{\ell m}(\theta,\varphi), \\ h_{rr} = \frac{1}{B(t,r)} \sum_{\ell,m} H_{2,\ell m}(t,r) Y_{\ell m}(\theta,\varphi), \\ h_{ta} = \sum_{\ell,m} \beta_{\ell m}(t,r) \partial_a Y_{\ell m}(\theta,\varphi), \\ h_{ra} = \sum_{\ell,m} \alpha_{\ell m}(t,r) \partial_a Y_{\ell m}(\theta,\varphi), \\ h_{ab} = \sum_{\ell,m} K_{\ell m}(t,r) g_{ab} Y_{\ell m}(\theta,\varphi) + \sum_{\ell,m} G_{\ell m}(t,r) \nabla_a \nabla_b Y_{\ell m}(\theta,\varphi). \\ \pi(t,r,\theta,\varphi) = \pi(t,r) + \sum_{\ell,m} \chi_{\ell m}(t,r) Y_{\ell m}(\theta,\varphi), \end{cases}$$

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### Even parity sector. Gauge transformation.

• 
$$x^{\mu} \to x^{\mu} + \xi^{\mu}$$
 with  $\xi^{\mu}$  parametrized as  
 $\xi^{\mu} = \left( T_{\ell m}(t,r), R_{\ell m}(t,r), \Theta_{\ell m}(t,r)\partial_{\theta}, \frac{\Theta_{\ell m}(t,r)\partial_{\varphi}}{\sin^{2}\theta} \right) Y_{\ell m}(\theta,\varphi)$ 

In use in the static case.

$$\beta = 0, \quad K = 0, \quad G = 0.$$

**•** No static scalar field  $\pi(r, t)$  limit.

$$\chi = 0, \quad K = 0, \quad G = 0.$$

Shift-symmetric solutions:

$$\pi(t,r)=\pi(r)+qt$$

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Stability conditions and propagation speeds do not depend on gauge choice.

High momentum regime in even sector.

• Quadratic action. 
$$v^1 = H_2$$
,  $v^2 = \alpha$ .

$$S_{even}^{(2)} = \int \mathrm{d}t \, \mathrm{d}k \sqrt{\frac{A}{B}} J^2 \left( \mathcal{K}_{ij} \dot{v}^i \dot{v}^j + k \mathcal{Q}_{ij} \dot{v}^i v^j - k^2 \mathcal{G}_{ij} v^i v^j + \ldots \right),$$

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Dispersion relation:

$$\begin{split} \left(c_{r1,2}^{2}\mathcal{K}_{ij}-c_{r1,2}(AB)^{-1/2}\mathcal{Q}_{ij}-(AB)^{-1}\mathcal{G}_{ij}\right)|_{\textit{Eigenvalues}} = 0, \\ c_{r1}^{(\pm)} = \sqrt{\frac{B}{A}}\frac{\mathcal{J}}{\mathcal{F}} \pm \frac{1}{\mathcal{F}}\sqrt{\mathcal{Z}} = c_{odd}^{(\pm)}. \end{split}$$

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Stability conditions. G4 subclass. Even sector

$$\left(c_{r1,2}^2\mathcal{K}_{ij}-c_{r1,2}(AB)^{-1/2}\mathcal{Q}_{ij}-(AB)^{-1}\mathcal{G}_{ij}
ight)ert_{\textit{Eigenvalues}}=0,$$

► No-ghost:

$$\mathcal{K}_{11} > 0, \qquad det \ \mathcal{K} > 0.$$

No radial gradient:

$$\mathcal{G}_{11}^{1,2} > 0, \qquad \textit{det } \mathcal{G}^{1,2} > 0.$$

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Angular gradient.

► Tachyonic (low energies).

Even sector. No-go theorem.

Static spherically symmetric or cosmological case in Horndeski theory:

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Stability conditions  $\Rightarrow$  no-go theorem.

[V. Rubakov, S. Mironov, M. Libanov]

Even sector. No-go theorem.

Static spherically symmetric or cosmological case in Horndeski theory:

#### Stability conditions $\Rightarrow$ no-go theorem.

[V. Rubakov, S. Mironov, M. Libanov]

Generalizations:

- Additional matter.
- Multi-galileon.
- Several ways to bypass the no-go theorem.
- Absence of the no-go theorem in Beyond Horndeski.

No-go theorem. Spherically symmetric background.

**Static** case in full Horndeski theory:

Stability conditions  $\Rightarrow$  no-go theorem.

**Dynamical** background in cubic subclass:

$$S = \int \mathrm{d}^4 x \sqrt{-g} \left( R + F(\pi, X) - K(\pi, X) \Box \pi 
ight)$$

Stability conditions  $\Rightarrow$  generalized no-go theorem.

No-go theorem. Spherically symmetric background.

**Static** case in full Horndeski theory:

Stability conditions  $\Rightarrow$  no-go theorem.

**Dynamical** background in cubic subclass:

$$S = \int \mathrm{d}^4 x \sqrt{-g} \left( R + F(\pi, X) - K(\pi, X) \Box \pi \right)$$

Stability conditions  $\Rightarrow$  generalized no-go theorem.

$$\mathcal{K}^{00}\omega^2 = \mathcal{K}^{rr}k_r^2 + \mathcal{K}^{\Omega}k_{\phi}^2 + \mathcal{K}^{tr}\omega k_r$$

$$\left\{ \begin{array}{l} \mathcal{K}^{00} > 0 \\ \mathcal{K}^{\Omega} \geq 0 \\ \mathcal{K}^{\prime \prime} \geq -\frac{(\mathcal{K}^{\prime \prime})^2}{4\mathcal{K}^{00}} \end{array} \right.$$

Sufficient conditions of the generalized no-go theorem.

- Coordinate transformation  $\Rightarrow \pi$  is a function of one variable.
- ►  $\exists \gamma(\lambda) \in (r, t)$ : at any point of  $\gamma$ , its tangent vector  $\xi^{\mu} = \frac{\partial x^{\mu}}{\partial \lambda}$  satisfies  $\xi_{\mu} = \partial_{\mu} \pi(r, t)$ .
- In the region around the curve  $\gamma$ :
  - 1. The stability conditions are satisfied.
  - 2. The field equations are satisfied.
  - 3. The curve  $\gamma$  is either timelike or spacelike.
  - 4.  $\gamma$  does not contain zeros and singularities of the background functions.

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#### As a result:

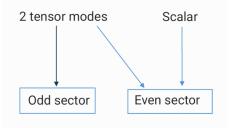
- $\gamma$  is spacelike,  $\pi \mid_{\gamma} = \pi(r') \Rightarrow$  Static no-go th.
- The case reduces to either the static or cosmological no-go theorem.

Generalized no-go in the cubic subclass.

- Sufficient conditions **only** in the region around the  $\gamma$ .
- ▶ Now the no-go theorem applies to solutions with special points.

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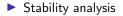
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Quadratic action

$$\begin{split} \mathcal{L}_{odd}^{(2)} &= \sqrt{\frac{B}{A}} J^2 \frac{\ell(\ell+1)}{2(\ell-1)(\ell+2)} \cdot \left[ \frac{1}{A} \frac{\mathcal{FH}^2}{\mathcal{Z}} \dot{Q}^2 - \frac{B \cdot \mathcal{KH}^2}{\mathcal{Z}} (Q')^2 \right. \\ &\left. + 2 \frac{B}{A} \frac{\mathcal{JH}^2}{\mathcal{Z}} Q' \dot{Q} - \frac{\ell(\ell+1)}{J^2} \cdot \mathcal{H} Q^2 - V(r) Q^2 \right] \,, \end{split}$$



Quadratic action

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Stability analysis

Absence of the no-go theorem in the odd parity sector.

Quadratic action

$$\begin{split} \mathcal{L}_{odd}^{(2)} &= \sqrt{\frac{B}{A}} J^2 \frac{\ell(\ell+1)}{2(\ell-1)(\ell+2)} \cdot \left[ \frac{1}{A} \frac{\mathcal{F}\mathcal{H}^2}{\mathcal{Z}} \dot{Q}^2 - \frac{B \cdot \mathcal{K}\mathcal{H}^2}{\mathcal{Z}} (Q')^2 \right. \\ &\left. + 2 \frac{B}{A} \frac{\mathcal{J}\mathcal{H}^2}{\mathcal{Z}} Q' \dot{Q} - \frac{\ell(\ell+1)}{J^2} \cdot \mathcal{H}Q^2 - V(r)Q^2 \right] \,, \end{split}$$

- Stability analysis
- Absence of the no-go theorem in the odd parity sector.
- Propagation speeds

$$c_r^{(\pm)} = \sqrt{rac{B}{A}} rac{\mathcal{J}}{\mathcal{F}} \pm rac{1}{\mathcal{F}} \sqrt{\mathcal{Z}} \leq 1, \qquad c_ heta^2 = rac{\mathcal{Z}}{\mathcal{F}\mathcal{H}} \leq 1$$

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Odd parity. Additional restrictions.

• After GW170817: 
$$|c_{GW}/c_{\gamma}-1| \le 5 \times 10^{-16}$$

Restrictions for the propagation speeds

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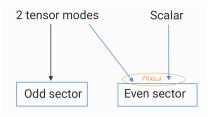
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The only viable subclass of BH theory

1. 
$$G_5(\pi, X) = 0$$
  
2.  $F_4(\pi, X) = \frac{G_{4X}(\pi, X)}{2X}$ 

3. Arbitrary  $G_4(\pi, X)$ 

# Speeds of graviton.



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1. Radial speeds

$$c_{r,Q}^{(\pm)} = c_{r,V}^{(\pm)} = \sqrt{\frac{B}{A}} \frac{\mathcal{J}}{\mathcal{F}} \pm \frac{1}{\mathcal{F}} \sqrt{\mathcal{Z}} = 1. \qquad | \qquad c_{r,g}^{(\pm)} = c_{r,V}^{(\pm)} = \sqrt{\frac{B}{A}} \frac{\mathcal{J}}{\mathcal{F}} \pm \frac{1}{\mathcal{F}} \sqrt{\mathcal{Z}} = 1.$$

2. Angular speeds

$$c_{\theta,Q}^2 = c_{\theta,V}^2 = \frac{\mathcal{Z}}{\mathcal{FH}} = 1.$$
 | mixed

### Kaluza-Klein compactification.

• Compactification  $R^5 \longrightarrow R^4 \times S^1$ 

Kaluza-Klein metric, 5D theory

$$g_{AB} = \left(\begin{array}{cc} g_{\mu\nu} + \phi^2 A_{\mu} A_{\nu} & \phi^2 A_{\mu} \\ \phi^2 A_{\nu} & \phi^2 \end{array}\right)$$

Horndeski action in 5D theory

$$S_{5} = \int d^{5}x \sqrt{-g_{(5)}} \left( G_{2}(\pi, X) + G_{3}(\pi, X) \Box \pi \right. \\ \left. + G_{4} R_{(5)} + G_{4,X} \left( (\Box \pi)^{2} - (\nabla_{A} \nabla_{B} \pi)^{2} \right) + G_{5}(\pi) G^{AB} \nabla_{A} \nabla_{B} \pi \right)$$

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Cylindrical conditions.

KK compactification of Horndeski theory.

$$\begin{split} \phi(\mathcal{L}_{2}+\mathcal{L}_{3}+\mathcal{L}_{4})+\mathcal{L}_{4A_{\mu}}+\mathcal{L}_{4\phi} &= \\ \int d^{4}x\sqrt{-g}\,\phi\,\left[G_{2}(\pi,\,X)+G_{3}(\pi,\,X)\,\Box\pi+G_{4}(\pi,\,X)\,\left(R-\frac{1}{4}\phi^{2}\,F^{2}-2\frac{\Box\phi}{\phi}\right)\right. \\ \left.+G_{4,X}(\pi,\,X)\left((\Box\pi)^{2}-(\nabla_{\mu}\nabla_{\nu}\pi)^{2}+2\,\frac{1}{\phi}\,\nabla_{\mu}\phi\nabla^{\mu}\pi\,\Box\pi-\frac{1}{2}\phi^{2}\,F_{\mu}{}^{\sigma}\,F_{\nu\sigma}\,\nabla^{\mu}\pi\,\nabla^{\nu}\pi\right)\right] \end{split}$$

$$\begin{split} \phi \mathcal{L}_{5} + \mathcal{L}_{5A_{\mu}} + \mathcal{L}_{5\phi} &= \int d^{4}x \sqrt{-g} \phi G_{5}(\pi) \left[ \left( R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R \right) \nabla_{\mu} \nabla_{\nu} \pi \right. \\ &\left. - \frac{1}{2\phi} R \nabla_{\mu} \phi \nabla^{\mu} \pi + \frac{1}{\phi} \left( \Box \phi \Box \pi - \nabla_{\mu} \nabla_{\nu} \phi \nabla^{\mu} \nabla^{\nu} \pi \right) + \frac{1}{2} \phi^{2} F_{\mu\nu} \nabla_{\sigma} F^{\nu\sigma} \nabla^{\mu} \pi \right. \\ &\left. + \frac{1}{8} \phi F^{\mu\nu} F^{\sigma\rho} \left( 3 g_{\nu\rho} (-4 g_{\lambda\mu} g_{\beta\sigma} + g_{\lambda\beta} g_{\mu\sigma}) \nabla^{\lambda} \pi \nabla^{\beta} \phi + \phi g_{\sigma\mu} \left( -4 \nabla_{\nu} \nabla_{\rho} \pi + g_{\rho\nu} \Box \pi \right) \right) \right] \end{split}$$

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KK compactification of Horndeski theory.

#### $R^5 \longrightarrow R^4 \times S^1$

- Generalized Galileons  $\longrightarrow$  Generalized Galileons
- $\blacktriangleright$  2nd derivatives in the action  $\longrightarrow$  2nd derivatives in the action
- ▶ no higher derivatives in EOMs → no higher derivatives in EOMs
- $\blacktriangleright \mbox{ Metric + scalar } \longrightarrow \mbox{ Metric + vector + scalar + scalar } \\ [U(1) \mbox{ gauge}]$

Time-dependent spherically symmetric background.

Background metric

$$ds^2 = -A(r,t) dt^2 + rac{dr^2}{B(r,t)} + J^2(r,t) \left( d\theta^2 + \sin^2 \theta \ d\varphi^2 
ight)$$

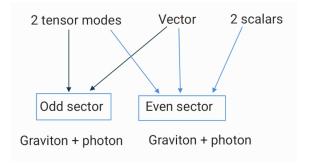
Background fields

$$\pi = \pi(r, t), \qquad \phi = \phi(t, r), \qquad A_{\mu} = (A_0(t, r), A_1(t, r), 0, 0).$$

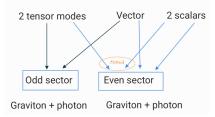
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Classification of perturbations.

$$\pi = \bar{\pi} + \chi, \qquad g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu},$$
$$\phi = \bar{\phi} + \delta\phi, \qquad A_{\mu} = \bar{A}_{\mu} + \delta A_{\mu}.$$



# Speeds of graviton and modified photon



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1. Radial speeds

$$c_{r,Q}^{(\pm)} = c_{r,\mathcal{V}}^{(\pm)} = \sqrt{\frac{B}{A}} \frac{\mathcal{J}}{\mathcal{F}} \pm \frac{1}{\mathcal{F}} \sqrt{\mathcal{Z}} \neq 1. \qquad | \qquad c_{r,g}^{(\pm)} = c_{r,\mathcal{V}}^{(\pm)} = \sqrt{\frac{B}{A}} \frac{\mathcal{J}}{\mathcal{F}} \pm \frac{1}{\mathcal{F}} \sqrt{\mathcal{Z}} \neq 1.$$

2. Angular speeds

$$c^2_{ heta,Q} = c^2_{ heta,\mathcal{V}} = rac{\mathcal{Z}}{\mathcal{FH}} 
eq 1.$$
 In mixed

(The notations were saved from the non-compactified theory)

### Conclusion and outlook

 General time-dependent spherically symmetric background within Horndeski theory.

- Stability conditions.
- Speeds of gravity waves.
- Generalized no-go theorem in the cubic subclass.
- KK compactification of Horndeski theory.

$$\triangleright c_{GW} = c_{V}$$