

# A cosmological bounce in the theory of gravity with non-minimal derivative coupling

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- GR has successfully been exploited for a long time to describe celestial motion in Solar system, a bending of light rays, gravitational waves, the universe expansion ( $\Lambda$ CDM model)
- GR is unable to solve the number already existing problems and appearing new ones
  - cosmological and black hole singularities
  - dark energy (accelerating expansion of the Universe)
  - initial inflation
  - large scale structure of the universe
  - dark matter evidence
  - cosmological constant problem
  - etc. . .
- These amazing discoveries have set new serious challenges before theoretical cosmology faced the necessity of radical *modification* or *extension* of General Relativity

$$S = \int d^4x \sqrt{-g} [F(\phi)R - Z(\phi)g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - 2U(\phi)] + S_m[\psi_m, g_{\mu\nu}]$$

- generalizations of the Brans-Dicke theories
- the scalar field is
  - minimally coupled with ordinary matter (physical or Jordan frame)
  - non-minimally coupled with the scalar curvature by the term  $F(\phi)R$

**Notice:** Non-minimal coupling of the scalar field with the scalar curvature is provided by the terms  $F(\phi)R$

In 1974, *Gregory Walter Horndeski* derived the action of the most general scalar-tensor theories with second-order equations of motion

[G.Horndeski, *Second-Order Scalar-Tensor Field Equations in a Four-Dimensional Space*, IJTP **10**, 363 (1974)]

**Horndeski Lagrangian:**<sup>1</sup>

$$L_H = \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5)$$

$$\mathcal{L}_2 = G_2(\phi, X),$$

$$\mathcal{L}_3 = G_3(\phi, X) \square \phi,$$

$$\mathcal{L}_4 = G_4(\phi, X) R - 2G_{4,X}(\phi, X) (\square \phi^2 - \phi^{\mu\nu} \phi_{\mu\nu}),$$

$$\mathcal{L}_5 = G_5(\phi, X) G_{\mu\nu} \phi^{\mu\nu} + \frac{1}{3} G_{5,X}(\phi, X) (\square \phi^3 - 3 \square \phi \phi_{\mu\nu} \phi^{\mu\nu} + 2 \phi_{\mu\nu} \phi^{\mu\sigma} \phi^\nu{}_\sigma),$$

$G_a(\phi, X)$  are four arbitrary functions, and  $X = -\frac{1}{2}(\nabla\phi)^2$

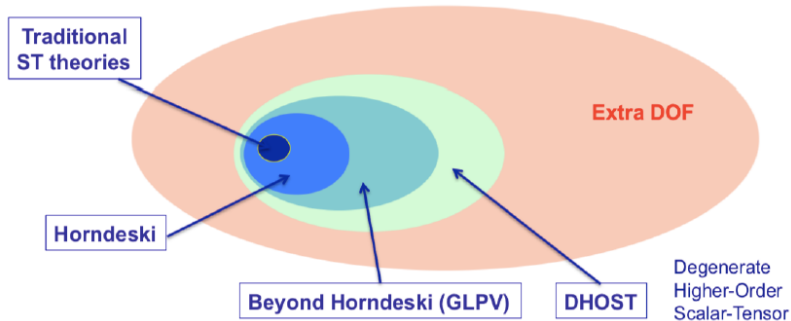
**Notice:** Non-minimal coupling of the scalar field with curvature is provided by two terms,  $G_4(\phi, X)R$  and  $G_5(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$

<sup>1</sup>T. Kobayashi, M. Yamaguchi, J. Yokoyama, Prog. Theor. Phys. **126**, 511 (2011)

$$\mathcal{L}_H = \mathcal{L}\{G_2, G_3, G_4, G_5\}$$

- Hilbert-Einstein action (GR):  
 $G_4(\phi, X) = \frac{1}{2}M_{Pl}^2 \rightarrow \mathcal{L}_H \sim \frac{1}{2}M_{Pl}^2 R$
- Nonminimal coupling:  $G_4(\phi, X) = f(\phi) \rightarrow \mathcal{L}_H \sim f(\phi)R$
- GR with a scalar field:  $G_2(\phi, X) = \epsilon X - V(\phi)$
- $k$ -essence:  $G_2 = K(\phi, X)$
- Kinetic gravity braiding (KGB):  
 $G_3 = B(\phi, X) \rightarrow \mathcal{L}_H \sim B(\phi, X)\square\phi$
- Nonminimal kinetic coupling:  
 $G_5(\phi, X) = \eta\phi \rightarrow \mathcal{L}_H \sim \eta G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$
- Fab Four, Gallileons, etc.

# Extended scalar-tensor theories



Landscape of scalar-tensor theories

D. Langlois, Dark energy and modified gravity  
in degenerate higher-order scalar-tensor (DHOST) theories: A review  
Int. J. Mod. Phys. D 28 (2019), no. 05 1942006

$$S = \int d^4x \sqrt{-g} \left[ F_{(2)}(\phi, X)R + P(\phi, X) + Q(\phi, X)\square\phi \right. \\ \left. + F_{(3)}(\phi, X)G_{\mu\nu}\phi^{\mu\nu} + \sum_{a=1}^5 A_a(\phi, X)L_a^{(2)} + \sum_{a=1}^{10} B_a(\phi, X)L_a^{(3)} \right]$$

$$L_1^{(2)} = \phi_{\mu\nu}\phi^{\mu\nu}, \quad L_2^{(2)} = (\square\phi)^2, \quad L_3^{(2)} = (\square\phi)\phi^\mu\phi_{\mu\nu}\phi^\nu, \\ L_4^{(2)} = \phi^\mu\phi_{\mu\rho}\phi^{\rho\nu}\phi_\nu, \quad L_5^{(2)} = (\phi^\mu\phi_{\mu\nu}\phi^\nu)^2.$$

$$L_1^{(3)} = (\square\phi)^3, \quad L_2^{(3)} = (\square\phi)\phi_{\mu\nu}\phi^{\mu\nu}, \quad L_3^{(3)} = \phi_{\mu\nu}\phi^{\nu\rho}\phi_\rho^\mu, \\ L_4^{(3)} = (\square\phi)^2\phi_\mu\phi^{\mu\nu}\phi_\nu, \quad L_5^{(3)} = \square\phi\phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho, \quad L_6^{(3)} = \phi_{\mu\nu}\phi^{\mu\nu}\phi_\rho\phi^{\rho\sigma}\phi_\sigma, \\ L_7^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^{\rho\sigma}\phi_\sigma, \quad L_8^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho\phi_\sigma\phi^{\sigma\lambda}\phi_\lambda, \\ L_9^{(3)} = \square\phi(\phi_\mu\phi^{\mu\nu}\phi_\nu)^2, \quad L_{10}^{(3)} = (\phi_\mu\phi^{\mu\nu}\phi_\nu)^3.$$

**Notice:** Non-minimal coupling of the scalar field with curvature is provided by two terms,  $F_{(2)}(\phi, X)R$  and  $F_{(3)}(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$

**Notice:** There are only two qualitatively different terms describing non-minimal coupling of the scalar field with curvature:  $M(\phi, X)R$  and  $N(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$ .

- $M(\phi, X)R$  — Brans-Dicke-like theories
- $N(\phi, X)G^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi$  — theories with non-minimal derivative coupling



# Theory with nonminimal derivative coupling. I

Focusing on non-minimal derivative coupling, we have

**Action:**  $S = S^{(g)} + S^{(m)}$

$S^{(m)}$  — *the action for ordinary matter fields*

$$S^{(g)} = \frac{1}{2} \int d^4x \sqrt{-g} [M_{\text{Pl}}^2 (R - 2\Lambda) - (\varepsilon g_{\mu\nu} + \eta G_{\mu\nu}) \nabla^\mu \phi \nabla^\nu \phi - 2V(\phi)]$$

$\Lambda$  — *cosmological constant*

$\varepsilon = 1$  (*ordinary scalar field*);

$\varepsilon = -1$  (*phantom scalar field*);

$\varepsilon = 0$  (*no standard kinetic term*)

$\eta$  — *dimensional coupling parameter*;  $[\eta] = (\text{length})^2 \rightarrow \eta = \pm \ell^2$

$\ell$  — *characteristic scale of non-minimal coupling*

Field equations:

$$G_{\mu\nu} = -g_{\mu\nu}\Lambda + 8\pi \left[ T_{\mu\nu}^{(m)} + T_{\mu\nu}^{(\phi)} + \eta \Theta_{\mu\nu} \right]$$

$$[\varepsilon g^{\mu\nu} + \eta G^{\mu\nu}] \nabla_\mu \nabla_\nu \phi = V'_\phi$$

$$T_{\mu\nu}^{(\phi)} = \varepsilon \left[ \nabla_\mu \phi \nabla_\nu \phi - \frac{1}{2} g_{\mu\nu} (\nabla\phi)^2 \right] - g_{\mu\nu} V(\phi),$$

$$\Theta_{\mu\nu} = -\frac{1}{2} \nabla_\mu \phi \nabla_\nu \phi R + 2 \nabla_\alpha \phi \nabla_{(\mu} \phi R_{\nu)}^\alpha - \frac{1}{2} (\nabla\phi)^2 G_{\mu\nu} + \nabla^\alpha \phi \nabla^\beta \phi R_{\mu\alpha\nu\beta}$$

$$+ \nabla_\mu \nabla^\alpha \phi \nabla_\nu \nabla_\alpha \phi - \nabla_\mu \nabla_\nu \phi \square\phi + g_{\mu\nu} \left[ -\frac{1}{2} \nabla^\alpha \nabla^\beta \phi \nabla_\alpha \nabla_\beta \phi + \frac{1}{2} (\square\phi)^2 - \nabla_\alpha \phi \nabla_\beta \phi R^{\alpha\beta} \right]$$

$$T_{\mu\nu}^{(m)} = (\rho + p) u_\mu u_\nu + p g_{\mu\nu}$$

**Notice:** *The field equations are of second order!*

# Isotropic and homogeneous cosmological models

**Ansatz:**  $V \equiv 0$  (no potential),  $\varepsilon = +1$  (ordinary scalar)

$\phi = \phi(t)$ ,  $T_{\mu\nu}^{(m)} = \text{diag}(\rho(t), p(t), p(t), p(t))$ , and the FLRW metric

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2\theta d\varphi^2) \right]$$

$k = 0, \pm 1$ ,  $a(t)$  *cosmological factor*,  $H(t) = \dot{a}(t)/a(t)$  *Hubble parameter*

**Gravitational equations:**

$$3 \left( H^2 + \frac{k}{a^2} \right) = \Lambda + 8\pi\rho + 4\pi\psi^2 \left( 1 - 9\eta \left( H^2 + \frac{k}{3a^2} \right) \right),$$

$$2\dot{H} + 3H^2 + \frac{k}{a^2} = \Lambda - 8\pi p - 4\pi\psi^2 \left[ 1 + 2\eta \left( \dot{H} + \frac{3}{2} H^2 - \frac{k}{a^2} + 2H \frac{\dot{\psi}}{\psi} \right) \right]$$

**The scalar field equations:**

$$a^3\psi \left( 1 - 3\eta \left( H^2 + \frac{k}{a^2} \right) \right) = Q = \text{const}$$

where  $\psi = \dot{\phi}$

# Modified Friedmann equation (Master equation). I

Material content is a mixture of radiation and non-relativistic component:

$$\rho = \rho_m + \rho_r = \rho_{m0} \left(\frac{a_0}{a}\right)^3 + \rho_{r0} \left(\frac{a_0}{a}\right)^4$$

Introducing the dimensionless scales factor  $a$ , Hubble parameter  $h$ , and coupling parameter  $\zeta$ :

$$a = \frac{a}{a_0}, \quad h = \frac{H}{H_0}, \quad \zeta = \eta H_0^2,$$

and the dimensionless density parameters:

$$\Omega_0 = \frac{\Lambda}{3H_0^2}, \quad \Omega_2 = \frac{k}{a_0^2 H_0^2}, \quad \Omega_3 = \frac{\rho_{m0}}{\rho_{cr}}, \quad \Omega_4 = \frac{\rho_{r0}}{\rho_{cr}}, \quad \Omega_6 = \frac{4\pi Q^2}{3a_0^6 H_0^2},$$

where  $\rho_{cr} = 3H_0^2/8\pi$  is the critical density, one has

## Modified Friedmann equation

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} + \frac{\Omega_6 \left(1 - 3\zeta \left(3h^2 + \frac{\Omega_2}{a^2}\right)\right)}{a^6 \left(1 - 3\zeta \left(h^2 + \frac{\Omega_2}{a^2}\right)\right)^2}$$

## Modified Friedmann equation

$$h^2 = \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} + \frac{\Omega_6(1 - 3\zeta(3h^2 + \frac{\Omega_2}{a^2}))}{a^6(1 - 3\zeta(h^2 + \frac{\Omega_2}{a^2}))^2}$$

- Assuming  $\Lambda \geq 0$ , one has  $\Omega_0 \geq 0$
- $\Omega_2 = k/a_0^2 H_0^2$ , hence  
 $\Omega_2 = 0, \Omega_2 < 0, \Omega_2 > 0$  if  $k = 0, -1, +1$ , respectively
- $\zeta = \eta H_0^2 = \pm (\ell/\ell_H)^2$ , where  $\ell_H = 1/H_0$ , hence  
 $\zeta$  is proportional to the square of ratio of two characteristic scales,  
hence  $\zeta \ll 1$  ???
- In case  $\Omega_6 = 0$  (no scalar with non-minimal derivative coupling) one has the standard master equation of  $\Lambda$ CDM cosmological model
- In case  $\Omega_6 \neq 0$  but  $\zeta = 0$  (no non-minimal derivative coupling) one has a cosmological model with an ordinary scalar field

# Modified Friedmann equation (Master equation). III

Denoting  $y = h^2$  one can rewrite the master equation as a cubic in  $y$  algebraic equation

$$c_3 y^3 + c_2(a) y^2 + c_1(a) y + c_0(a) = 0$$

with the coefficients

$$c_3 = 9\zeta^2$$

$$c_2 = -6\zeta \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) - 9\zeta^2 \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right),$$

$$c_1 = \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right)^2 + 6\zeta \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right) + \frac{9\zeta\Omega_6}{a^6},$$

$$c_0 = - \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right)^2 \left( \Omega_0 - \frac{\Omega_2}{a^2} + \frac{\Omega_3}{a^3} + \frac{\Omega_4}{a^4} \right) - \left( 1 - \frac{3\zeta\Omega_2}{a^2} \right) \frac{\Omega_6}{a^6}.$$

**Notice:** Roots  $h = h(a)$  of the cubic polynomial (14) define a global cosmological behavior as follows

$$\int_{a=1}^a \frac{d\tilde{a}}{\tilde{a}h(\tilde{a})} = H_0(t - t_0).$$

# Turning points and bounces in the Universe evolution

A turning point in the Universe evolution may occur at a moment  $t = t_*$ , when the scale factor  $a(t)$  reaches its extremal, either maximal or minimal value,  $a(t_*) = a_*$ . Correspondingly,  $y(a_*) = h^2(a_*) = 0$ .

The polynomial  $P(a, y) = c_3 y^3 + c_2(a) y^2 + c_1(a) y + c_0(a)$  has a root  $y(a_*) = 0$  if and only if  $c_0(a_*) = 0$ , and hence we obtain two separate algebraic conditions for  $a_*$ :

$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) \left(\Omega_0 - \frac{\Omega_2}{a_*^2} + \frac{\Omega_3}{a_*^3} + \frac{\Omega_4}{a_*^4}\right) + \frac{\Omega_6}{a_*^6} = 0, \quad (1)$$

$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) = 0. \quad (2)$$

**NOTICE:** The conditions (1) and (2) have NO solutions in case  $\Omega_2 \leq 0$ . Therefore, in cosmological models with negative or zero spatial curvature there are no turning points.

# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

**Condition 1:** 
$$\left(1 - \frac{3\zeta\Omega_2}{a_*^2}\right) \left(\Omega_0 - \frac{\Omega_2}{a_*^2} + \frac{\Omega_3}{a_*^3} + \frac{\Omega_4}{a_*^4}\right) + \frac{\Omega_6}{a_*^6} = 0$$

In the simplest case:  $\Omega_0 = \Omega_3 = \Omega_4 = 0, \zeta = 0$ , one has

$$a_*^2 = \sqrt{\Omega_6/\Omega_2} = \sqrt{(1 + \Omega_2)/\Omega_2}.$$

Supposing  $\Omega_2 \ll 1$ , we get  $a_*^2 = a_{max}^2 \approx 1/\Omega_2^{1/2} \gg 1$

Therefore, the Universe's expansion is stopped when the scale factor achieves its maximal value  $a_{max}$  and then replaced by contraction.

**This is a turning point!**

Thus, a root (if exists) of the Condition 1 gives a maximal value  $a_* = a_{max}(\Omega_0, \Omega_2, \Omega_3, \Omega_4, \zeta)$  which does generally depend on *all* parameters of the model.



# Turning points and bounces: $\Omega_2 > 0$ (positive spatial curvature)

**Condition 2:** 
$$1 - \frac{3\zeta\Omega_2}{a_*^2} = 0 \quad \rightarrow \quad a_*^2 = 3\zeta\Omega_2$$

Since  $\Omega_2 \ll 1$  and  $\zeta \ll 1$ , we get  $a_*^2 = a_{min}^2 \ll 1$

Therefore, the Universe's contraction is stopped when the scale factor achieves its minimal value  $a_{min} = (3\zeta\Omega_2)^{1/2}$ .

## NOTICE:

- The value  $a_{min} = (3\zeta\Omega_2)^{1/2}$  depends ONLY on the product  $\zeta\Omega_2$ , and does NOT depend on  $\Omega_0$ ,  $\Omega_3$ ,  $\Omega_4$ !
- Following [a], we may say that the cosmological constant and material substance are *screened* at the early stage and makes no contribution to the universe evolution.

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<sup>a</sup>A. A. Starobinsky, S. V. Sushkov, and M. S. Volkov, *The screening Horndeski cosmologies*, *JCAP* **1606** (2016), no. 06 007

# Bounce solution

Let us consider an asymptotic behavior of  $h$  near  $a = a_* \equiv (3\zeta\Omega_2)^{1/2}$ :

$$9\zeta h^2 \approx 2\frac{\Delta a}{a_*} - 3\left(\frac{\Delta a}{a_*}\right)^2 + 4\left(\frac{\Delta a}{a_*}\right)^3 + \dots,$$

where  $\Delta a = a - a_*$ .

Integrating, we obtain

$$a(\tau) = a_{min} \left( 1 + \frac{\Delta\tau^2}{18\zeta} \right) + O(\Delta\tau^4),$$
$$h(\tau) = \frac{\Delta\tau}{9\zeta} + O(\Delta\tau^3),$$

where  $a_{min} = a_*$ ,  $\Delta\tau = \tau - \tau_*$ , and  $\tau_*$  is a constant of integration.

Evidently:  $a(\tau) \rightarrow a_{min}$  and  $h(\tau) \rightarrow 0$  as  $\Delta\tau \rightarrow 0$ , i.e.  $\tau \rightarrow \tau_*$ .

**NOTICE:** The spacetime geometry is regular when approaching to the “bounce”  $a_{min}$ !

Is the point  $a_*^2 = a_{min}^2 = 3\zeta\Omega_2$  a bounce?

Scalar field equation:

$$\phi' = \frac{q}{a^3 \left(1 - 3\zeta \left(h^2 + \frac{\Omega_2}{a^2}\right)\right)}$$

Asymptotics:

$$\phi' \approx \frac{27\zeta q}{2a_{min}^3 \Delta\tau^2}.$$

Thus, one has  $\phi' \propto 1/\Delta\tau^2 \rightarrow \infty$  as  $\Delta\tau \rightarrow 0$ , i.e.  $\tau \rightarrow \tau_*$ .

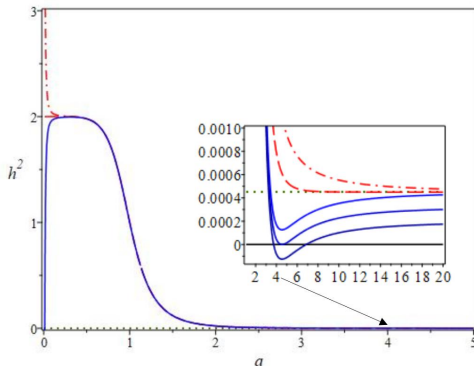
**NOTICE:** One has a singular behavior of the scalar field when approaching to the “bounce”  $a_{min}$ .

**A ‘singular’ bounce!**

# Examples of numerical solutions. I.

The case  $\zeta \neq 0$  and  $\Omega_0 = \Omega_3 = \Omega_4 = 0$

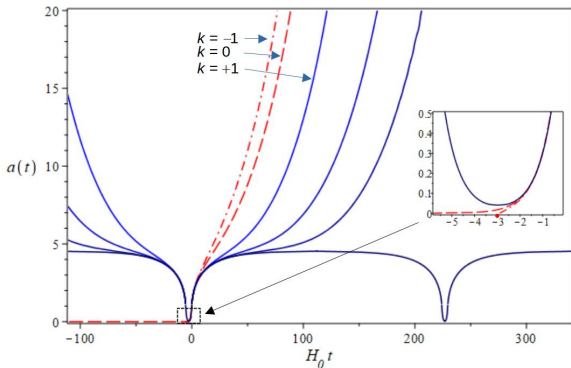
## Plots of $h^2$ versus $a$



# Examples of numerical solutions. II.

The case  $\zeta \neq 0$  and  $\Omega_0 = \Omega_3 = \Omega_4 = 0$

## Plots of $a$ versus $t$



# Concluding remarks

- We have explored bounce scenarios in the framework of homogeneous and isotropic cosmological models with arbitrary spatial curvature in the theory of gravity with non-minimal derivative coupling.
- In general, the model depends on five independent dimensionless parameters: the coupling parameter  $\zeta$ , and density parameters  $\Omega_0$ ,  $\Omega_2$ ,  $\Omega_3$ ,  $\Omega_4$ .
- A bounce cosmological scenario is most general for the homogeneous and isotropic cosmological model with positive spatial curvature ( $\Omega_2 > 0$ ,  $k = +1$ ).
- Near the bounce one has  $a(\tau) \approx a_{min} (1 + \Delta\tau^2/18\zeta) \rightarrow a_{min}$  and  $h(\tau) \approx \Delta\tau/9\zeta \rightarrow 0$  as  $\Delta\tau \rightarrow 0$ . Therefore, the spacetime geometry is regular when approaching to the bounce.
- However, the scalar field diverges near the bounce as follows:  $\phi' \propto 1/\Delta\tau^2 \rightarrow \infty$  as  $\Delta\tau \rightarrow 0$ .
- Therefore, we can term this scenario as a **'singular' bounce**.

THANKS FOR YOUR ATTENTION!