

Origin of the most energetic particles in the Universe

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Particles and Cosmology – 2025

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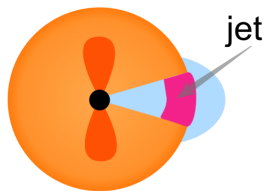
Lecture 4

- 1 Theoretical perspectives
- 2 Assumptions and predictions of shock models
- 3 Diffusive shock acceleration vs. converter acceleration
 - GRB 190114C
 - GRB 190829A

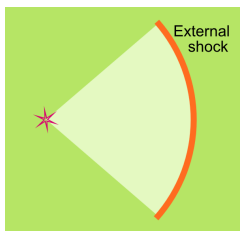
Summary of Lecture 3

- 10^{20} eV iron nuclei in Cosmic Rays can be produced in:
accretion shocks in clusters of galaxies
giant giant radio lobes (metallicity uncertain)
- Helium nuclei are not expected in 10^{20} eV Cosmic Rays
- Accretion discs in AGNs can barely reach 10^{20} eV for protons
but they are promising sources of neutrino emission at $\sim 10^{15}$ eV
- Relativistic bulk motion enables one more acceleration mechanism
— converter acceleration

Gamma-ray bursts



prompt
emission



afterglow

Jet Lorentz factor
at prompt phase $\Gamma > 100$

Baring & Harding (1997)

Deceleration of the blast
wave at afterglow phase
is well understood

Blandford & McKee (1976)

After confirming synchrotron-self-Compton emission model
by observing TeV radiation (MAGIC Collaboration Nature 575, 2019)

afterglows of Gamma-Ray Bursts become the most clear-cut instance of
relativistic shock problem:

- straightforward estimate for the shock's Lorentz factor
- no external photon field

Shock's microphysics

Radiation processes

this is what we see on the stage

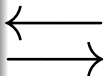
Synchrotron-self-Compton radiation (possibly with external Compton)
from energetic electrons



Particle acceleration

behind the scenes

- Diffusive shock acceleration
- Converter acceleration
- ...



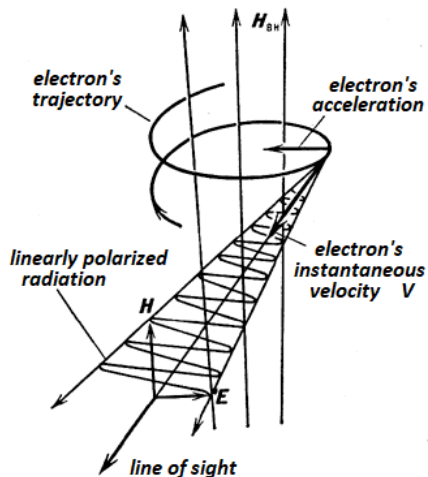
Magnetic field

behind the scenes

- Weibel instability
- MHD instabilities
- ...



Synchrotron radiation



ultrarelativistic
perpendicular momentum

quasi-continuous
spectrum of emission

typical photon energy

$$\epsilon_{sy} \approx \gamma_e^2 \hbar \omega_B$$

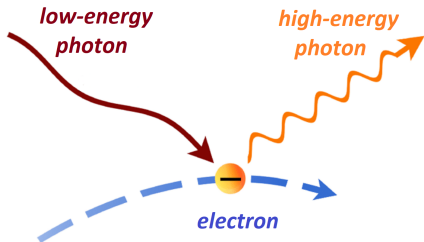
radiated power

$$P_{sy} = \frac{4}{3} \gamma_e^2 \sigma_T c \frac{B^2}{8\pi}$$

Radiation at the limit of acceleration

$$\epsilon_{sy,max} \sim m_e c^2 / \alpha_f \approx 70 \text{ MeV}$$

Inverse Compton radiation



energy is transferred
from electron to photon

radiated power

$$P_{IC} = \frac{4}{3} \gamma_e^2 \sigma c W_{ph}$$

Thomson regime $(\gamma_e \epsilon_{low} \ll m_e c^2)$

energy of scattered photons $\epsilon_{high} \approx \gamma_e^2 \epsilon_{low}$

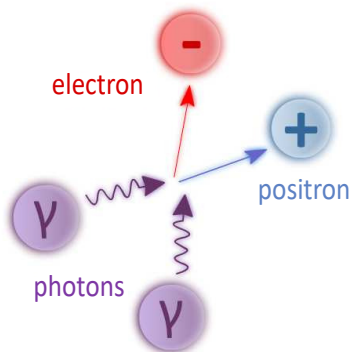
scattering cross-section $\sigma \approx \sigma_T$

Klein-Nisina regime $(\gamma_e \epsilon_{low} \gtrsim m_e c^2)$

energy of scattered photons $\epsilon_{high} \approx \gamma_e m_e c^2$

scattering cross-section $\sigma \sim \sigma_T m_e c^2 / (\gamma_e \epsilon_{low})$

Two-photon e^-e^+ pair creation



kinematic threshold

$$\epsilon_1 \epsilon_2 > 2m_e^2 c^4 / (1 - \cos \theta)$$

usually $\epsilon_1 \gg \epsilon_2$ —

there are more low-energy photons

then electron and positron are born relativistic and divide energy roughly in half

Symmetry of Feynman diagram with Compton scattering

- cross-sections at high energies differ by factor 2
- pair-production cross-section at low energies is suppressed by kinematic threshold

SSC parameter space

- Magnetic field strength B
- Compton potential $k_{SC} \equiv \epsilon_e / \epsilon_B$
- Injection function's scale γ_b
- Shock's Lorentz factor Γ_{sh} that sets effective timescale t_{eff} (or cooling Lorentz factor γ_c)

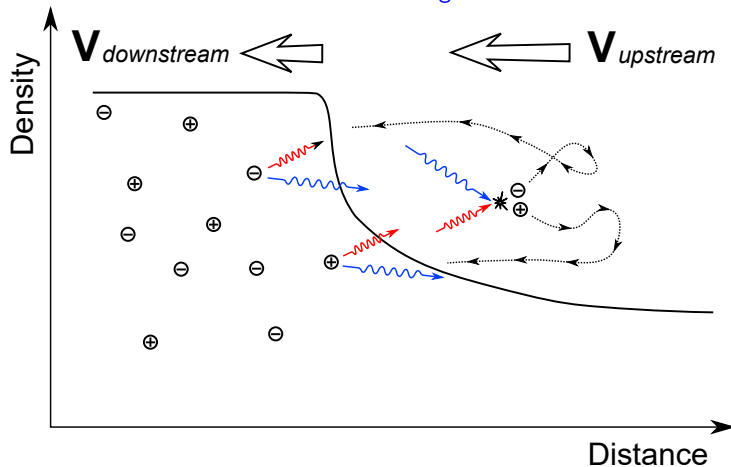
Distribution of injected electron's is *assumed* to be a power-law with low-energy cut $\frac{d\dot{N}}{dt} \propto \frac{\gamma^2}{(\gamma + \gamma_b)^{p+2}}$

Assumptions of diffusive shock acceleration

- Avg. Lorentz factor of injected electrons $\langle \gamma_{\text{inj}} \rangle = (\epsilon_e / \xi_e) \Gamma_{\text{sh}} (m_p / m_e)$.
- Fraction of energy in accelerated electrons $\epsilon_e \sim 0.1$, similar to what is seen in PIC simulations.
- Fraction of electrons being accelerated $\xi_e = 1$.
PIC simulations show $\xi_e \sim 0.1$.

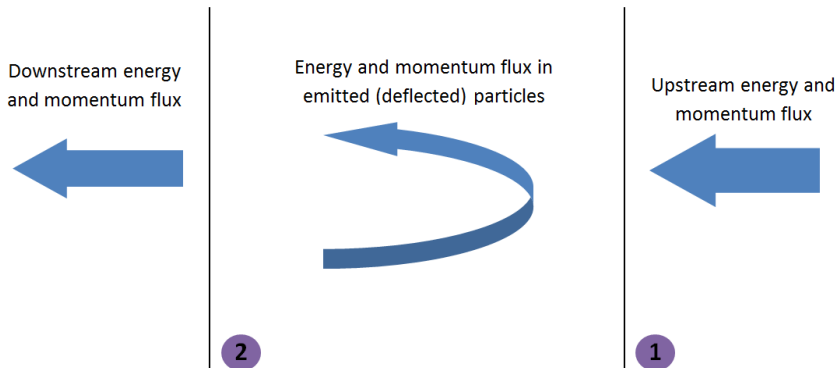
Pair-balance shock

Figure from Derishev and Piran, ApJ 923 (2021)



$$\left. \begin{array}{l} \langle \gamma \rangle > \gamma_0 \text{ — prevails absorption of IC photons} \\ \langle \gamma \rangle < \gamma_0 \text{ — prevails acceleration of electrons} \end{array} \right\} \langle \gamma \rangle \simeq \gamma_0 = \left(\frac{B_{cr}}{B} \right)^{1/3}$$

Energy-momentum transport in relativistic shocks



The (flux conservation) equations

Assume that there is a steady state 1D solution

- Momentum flux conservation

$$w_1 \beta_1^2 \Gamma_1^2 + p_1 = w_2 \beta_2^2 \Gamma_2^2 + p_2 + S_{mom}$$

- Energy flux conservation

$$w_1 \beta_1 \Gamma_1^2 = w_2 \beta_2 \Gamma_2^2 - S_{en}$$

- Energy and momentum fluxes for outgoing particles

$$S_{en} = a w_2 \beta_2 \Gamma_2^2 \qquad S_{mom} = b S_{en}$$

w – specific enthalpy, p – pressure

Approximate solution

Assume relativistic equation of state $p = w/4$

- This is guaranteed if shock modification is strong.

Use "magic" variable $\chi = \left(3\beta + \frac{1}{\beta}\right)$

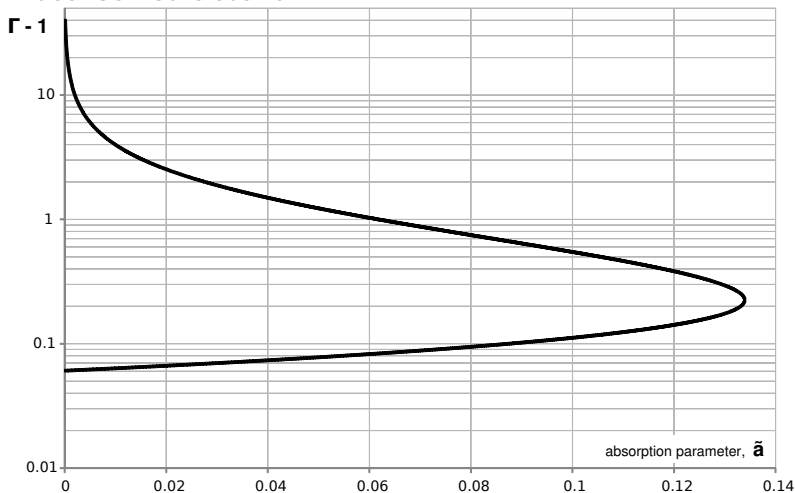
- The conservation equations become

$$d\chi = -\chi d\tilde{a}, \quad \text{where} \quad \tilde{a} = a(1+b)$$

- Approximate solution in the case where $\Gamma \gg \Gamma_u \gg 1$:

$$\Gamma_u = \frac{1}{2\tilde{a}^{1/2}}$$

Precursor structure



Bulk Lorentz factors in shock-front comoving frame

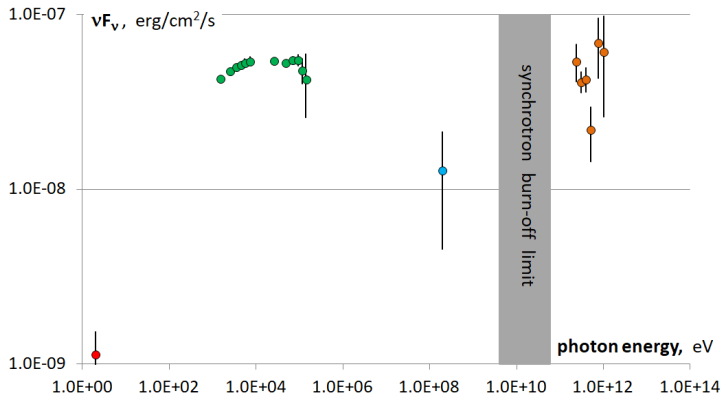
upper branch – the upstream

lower branch – the downstream.

Main predictions of pair-balance model

- Ratio of IC luminosity to synchrotron luminosity ~ 1 .
- Typical Lorentz-factor of accelerated electrons γ_b is adjusted to keep the fraction of absorbed radiation constant (saturated regime) or to maximize it (starved regime).
As the shock decelerates, γ_b grows (following decreasing magnetic field) while the fraction of accelerated electrons decreases.
- Fraction of internally absorbed radiation (in saturated regime) is constant (≈ 0.1).
- Average Lorentz factor of injected electrons (in starved regime)
 $\langle \gamma_{\text{inj}} \rangle = (B_{\text{cr}}/B)^{1/3} \simeq 3.5 \times 10^4 (B/1 \text{ G})^{-1/3}$.
- Fraction of energy in accelerated electrons $\epsilon_e \sim 1$

Broad-band afterglow spectrum of GRB 190114C



Observations:

Swift-UVOT

Swift-BAT

Fermi-LAT

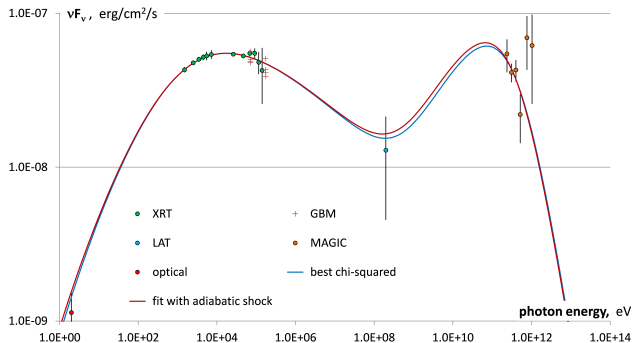
MAGIC

MAGIC Collab. Nature 575 (2019)

- Observing time $50 \div 1000$ s from trigger
- Photons' energy ~ 0.3 TeV
- Luminosity $L_{\text{TeV}} \simeq 0.4 L_{\text{keV}}$

GRB 190114C — SED at early time

ED & T.Piran, ApJ 923 (2021)



$$t_{\text{obs}} = 90 \text{ s}$$

$$\gamma_b = 6500$$

$$\epsilon_B = 0.0061$$

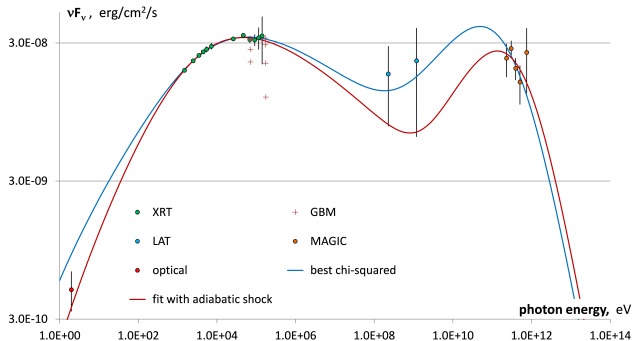
$$\epsilon_e = 0.12$$

$$p = 2.5$$

$$E_{\text{kin}} = 3 \times 10^{53} \text{ erg}$$

GRB 190114C — SED at late time

ED & T.Piran, ApJ 923 (2021)



$$t_{\text{obs}} = 145 \text{ s}$$

$$\gamma_b = 16700$$

$$\epsilon_B = 0.0027$$

$$\epsilon_e = 0.096$$

$$p = 2.5$$

$$E_{\text{kin}} = 3 \times 10^{53} \text{ erg}$$

Assuming constancy of ϵ_e , late-time fit favours $\sim 20\%$ decrease of shock's energy. Though statistical significance isn't high.

GRB 190114C time evolution

ED & T.Piran, ApJ 923 (2021)

$$\underline{t_{\text{obs}} = 90 \text{ s}}$$

$$\gamma_{\text{b}} = 6500$$

$$\epsilon_{\text{B}} = 0.0061$$

$$\epsilon_{\text{e}} = 0.12$$

$$(p = 2.5, E_{\text{kin}} = 3 \times 10^{53} \text{ erg})$$

$$\underline{t_{\text{obs}} = 145 \text{ s}}$$

$$\gamma_{\text{b}} = 16700$$

$$\epsilon_{\text{B}} = 0.0027$$

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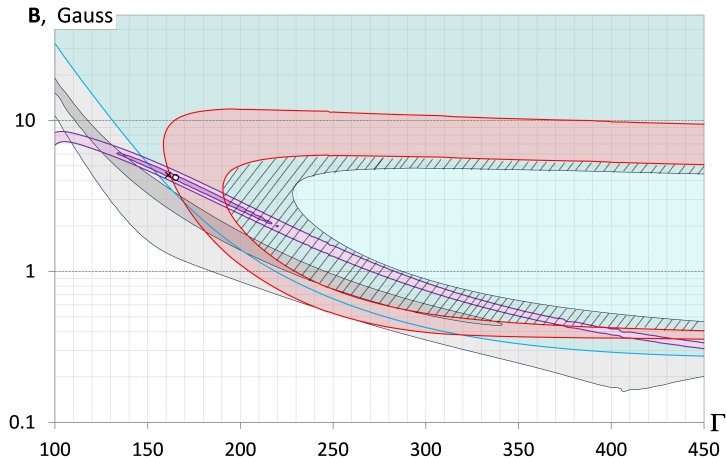
$$(p = 2.5, E_{\text{kin}} = 3 \times 10^{53} \text{ erg})$$

A surprise? Not really — ED & T.Piran, MNRAS 460 (2016)

γ_{b} **increases** as shock decelerates, while ϵ_{e} stays approximately constant
 $\Rightarrow$ fraction of upstream electrons being accelerated decreases with time

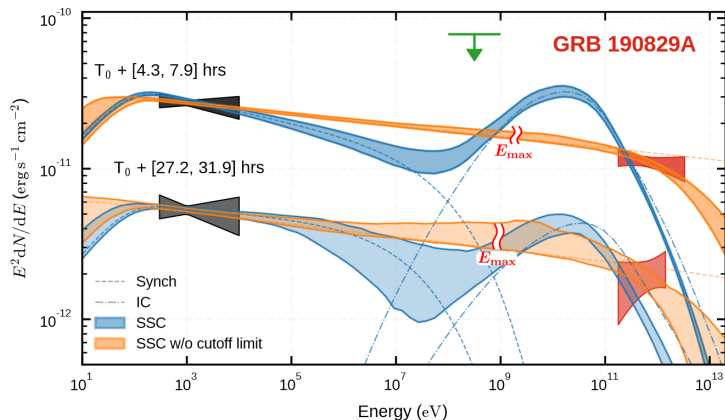
The fraction of internally absorbed radiation remains constant at $\simeq 10\%$

Parameters space for GRB 190114C



- gray area — good agreement with X-ray data
- pink area — good agreement with optical data
- cyan area — good agreement with GeV (LAT) data
- magenta area — good agreement with TeV data

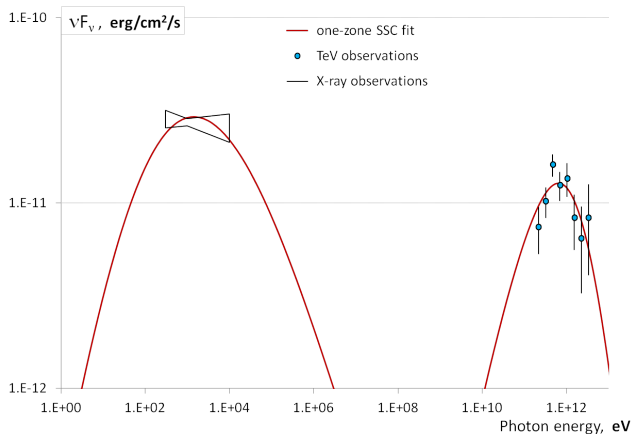
GRB 190829A — conventional scenario clearly fails



From H.E.S.S. Collaboration Science 372 (2021)

Conventional scenario (blue lines) is not consistent with observations.
Radiating electrons must be much more energetic.

GRB 190829A — SED at early time



$$t_{\text{obs}} = 2.1 \times 10^4 \text{ s}$$

$$\Gamma = 18$$

$$\langle \gamma_{\text{inj}} \rangle = 1.1 \times 10^5$$

$$\epsilon_B = 1.4 \times 10^{-3}$$

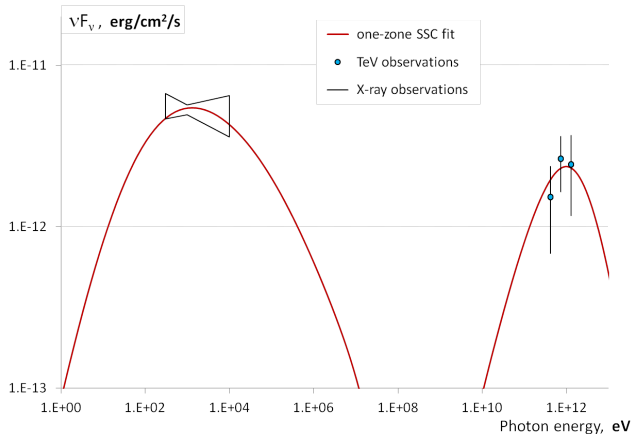
$$\epsilon_e = 0.17$$

$$p = 3.5$$

$$E_{\text{kin}} = 3 \times 10^{51} \text{ erg}$$

No good fit exists for standard $p = 2.5$. Need soft injection with $p > 3$.

GRB 190829A — SED at late time



$$t_{\text{obs}} = 1.06 \times 10^5 \text{ s}$$

$$\Gamma = 12$$

$$\langle \gamma_{\text{inj}} \rangle = 2.1 \times 10^5$$

$$\epsilon_B = 7.5 \times 10^{-4}$$

$$\epsilon_e = 0.46$$

$$p = 3.5$$

$$E_{\text{kin}} = 3 \times 10^{51} \text{ erg}$$

Data in the second observation interval are not restrictive. Let's use Blandford-McKee solution to extrapolate Γ_{sh} from the first interval's fit.

GRB 190829A time evolution

$$\underline{t_{\text{obs}} = 2.1 \times 10^4 \text{ s}}$$

$$\Gamma = 18$$

$$\langle \gamma_{\text{inj}} \rangle = 1.1 \times 10^5$$

$$B = 0.028 \text{ G} \Rightarrow \gamma_0 = 1.2 \times 10^5$$

$$\epsilon_B = 1.4 \times 10^{-3}$$

$$\epsilon_e = 0.17$$

$$p = 3.5$$

$$E_{\text{kin}} = 3 \times 10^{51} \text{ erg}$$

$$\underline{t_{\text{obs}} = 1.06 \times 10^5 \text{ s}}$$

$$\Gamma = 12$$

$$\langle \gamma_{\text{inj}} \rangle = 2.1 \times 10^5$$

$$B = 0.006 \text{ G} \Rightarrow \gamma_0 = 1.9 \times 10^5$$

$$\epsilon_B = 7.5 \times 10^{-4}$$

$$\epsilon_e = 0.46$$

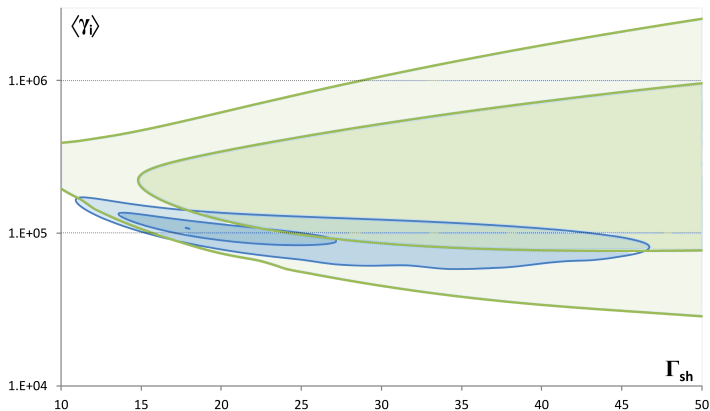
$$p = 3.5$$

$$E_{\text{kin}} = 3 \times 10^{51} \text{ erg}$$

Lorentz factor of injected electrons closely follows $\langle \gamma_{\text{inj}} \rangle \simeq \gamma_0$ prediction.

Assuming constancy of ϵ_e , late-time fit implies ~ 3 – fold increase of shock's energy. This is statistically significant.

Evolution of injection Lorentz factor



4.3 to 7.9 hrs
since trigger

27.2 to 31.9 hrs
since trigger

Change in parameters of best fit implies

$\simeq 80\%$ increase of injection Lorentz factor from first to second observation.

Very similar increase is expected from $\langle \gamma_{inj} \rangle \simeq \gamma_0 \propto B^{-1/3}$ rule

Model expectations vs observations

	conventional model (SSC + DSA)	pair balance model (SSC + converter)
IC to sy flux ratio	changes with time	constant at ~ 1
IC to sy flux ratio	differs in different GRBs	universal at ~ 1
$\langle \gamma_{inj} \rangle$ as function of time	decreases with time	increases with time
absorbed fraction at early afterglow	varies	universal at ~ 0.1
$\langle \gamma_{inj} \rangle$ at late afterglow	$\propto \Gamma_{sh}$	$\sim \gamma_0 \propto B^{-1/3}$

green — agrees with observations

gray — not enough data

red — contradicts observations

Summary 4

- Gamma-Ray bursts with their broad-band observations provide a unique (so far) test case for studying particle acceleration process
- There is good evidence that actually working acceleration mechanism in GRB shocks is converter
- It is not clear whether we can extend this conclusion to acceleration of nucleons — mainly because of poor knowledge of magnetic turbulence in relativistic shocks

What do we know?

- There are potential sources of $\lesssim 10^{20}$ eV Cosmic Rays:
 - accretion shocks in clusters of galaxies (for iron nuclei)
 - giant radio lobes (for iron nuclei)
 - accretion discs in Active Galactic Nuclei (for protons)
 - AGN jets and Gamma-Ray Bursts (for protons)
- None of them is really certain
- Proton-producing sources generally have a lower (theoretical) cut-off energy
- By coincidence, all of the potential sources can not go much beyond the already observed CR energy $\sim 3 \times 10^{20}$ eV

What do we need to go further?

— mostly more observational data and a bit of numerical experiment

- Detection/non-detection of galaxy clusters and giant radiolobes in GeV – TeV domain
- Detection/non-detection of Active Galactic Nuclei as neutrino sources
- Detection/non-detection of Gamma-Ray Bursts as neutrino sources
- Improvements in numerical (Particle-In-Cell or hybrid) methods to simulate relativistic shocks